

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------------|---|---------------------|--------|
| Application Number | : 10/689,302 | Confirmation Number | : 2862 |
| Applicant | : William Jones et al. | | |
| Filed | : October 20, 2003 | | |
| TC/A.U. | : 4172 | | |
| Examiner | : Bruce I. Ebersman | | |
| Title: | Multiple Stage Currency Processing System | | |
| Docket Number | : 247171-000252USPT | | |
| Customer Number | : 41230 | | |

**THIRD INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on attached Form PTO-1449 be considered by the Examiner and made of record. The references listed on the attached Form-1449 are patents and published applications assigned to Cummins-Allison Corp. which is the assignee of the present application.

This application claims the benefit of U.S. Provisional Patent Application Serial No. 60/420,844, entitled "Multiple Stage Currency Processing System," which was filed on October 24, 2002 and is incorporated herein by reference in its entirety.

In accordance with 37 C.F.R. § 1.98(d), any necessary copies are enclosed. However, in accordance with the September 21, 2004 OG Notice and 37 C.F.R. § 1.98(a)(2)(ii), copies of U.S. patents and U.S. published application references are no longer required and, thus, are not enclosed.

The applicants would like to make the Examiner aware of the following pending U.S. applications that are being pursued by the assignee of the present application:

| Applic. No. | Date Filed | Inventor | Title | IFW? | Non-IFW: Same or Similar Spec. as |
|--------------------|-------------------|------------------|---|-------------|--|
| 09/684,103 | 10/5/00 | Mennie et al. | Method and Apparatus for Document Identification and Authentication | Y | |
| 09/899,727 | 7/5/01 | J. Jones | Automated Payment System and Method | Y | |
| 09/967,232 | 9/28/01 | W. Jones et al. | System and Method for Processing Currency Bills and Substitute Currency Media In A Single Device | Y | |
| 10/084,856 | 2/27/02 | J. Jones | Automated Document Processing System Using Full Image Scanning | Y | |
| 10/163,757 | 6/6/02 | Rateman et al. | Method and Apparatus for Currency Discrimination and Counting | Y | |
| 10/198,872 | 7/19/02 | Mennie | Automatic Currency Processing System | Y | |
| 10/256,818 | 9/27/02 | Hallowell et al. | Currency Handling System Having Multiple Output Receptacles Interfaced with One or More Cash Processing Devices | Y | |
| 10/263,622 | 10/3/02 | W. Jones et al. | Automatic Currency Processing System | Y | |
| 10/348,819 | 1/22/03 | J. Jones | Financial Institution System | Y | |
| 10/393,867 | 3/20/03 | J. Jones et al. | Image Processing Network | Y | |
| 10/434,659 | 5/8/03 | Rateman et al. | Method and Apparatus for Currency Discrimination and Counting | Y | |
| 10/460,071 | 6/12/03 | Hallowell et al. | Currency Processing and Strapping System and Method for Using Same | Y | |
| 10/638,231 | 8/7/03 | J. Jones et al. | Currency Bill Tracking System | Y | |
| 10/669,787 | 9/24/03 | J. Jones et al. | Financial Document Processing System | Y | |
| 10/689,302 | 10/20/03 | W. Jones | Multiple Stage Currency Processing System | Y | |
| 10/769,406 | 1/30/04 | W. Jones | Document Processing System Using Primary and Secondary Pictorial Image Comparison | Y | |
| 10/773,827 | 2/6/04 | J. Jones | Currency Dispenser | Y | |
| 10/782,447 | 2/19/04 | W. Jones | Compact Currency Bill and Coin Processing Device | Y | |
| 10/861,338 | 6/4/04 | Jenrick et al. | Currency Handling System Having Multiple Output Receptacles | Y | |
| 10/903,745 | 7/30/04 | W. Jones et al. | Currency Processing Device, Method and System | Y | |

| <u>Applic. No.</u> | <u>Date Filed</u> | <u>Inventor</u> | <u>Title</u> | <u>IFW?</u> | <u>Non-IFW: Same or Similar Spec. as</u> |
|--------------------|-------------------|-------------------|--|-------------|--|
| 10/939,938 | 9/13/04 | Klein | System and Method for Processing Batches of Documents | Y | |
| 10/981,315 | 11/2/04 | Hallowell et al. | Multiple Pocket Currency Processing Device and Method | Y | |
| 11/036,686 | 1/14/05 | W. Jones et al. | Currency Processing Device, Method and System | Y | |
| 11/048,296 | 2/1/05 | J. Jones et al. | Automated Document Processing System And Method Using Full Image Scanning | Y | |
| 11/057,825 | 2/14/05 | Mennie | Method and Apparatus for Discriminating and Counting Documents | Y | |
| 11/194,380 | 8/1/05 | J. Jones et al. | Document Processing System Using Full Image Scanning | Y | |
| 11/198,065 | 8/5/05 | J. Jones et al. | Document Processing System Using Full Image Scanning | Y | |
| 11/199,511 | 8/8/05 | J. Jones et al. | Document Processing System Using Full Image Scanning | Y | |
| 11/238,217 | 9/29/05 | Freeman | Magnetic Detection System for Use in Currency Processing and Method and Apparatus for Using the Same | Y | |
| 11/332,705 | 1/13/06 | Mody et al. | Networked Cash Dispensing System | Y | |
| 11/403,460 | 4/13/06 | Rateman et al. | Method and Apparatus for Currency Discrimination | Y | |
| 11/434,092 | 5/15/06 | W. Jones et al. | Automatic Currency Processing System | Y | |
| 11/504,445 | 8/14/06 | J. Jones et al. | Automated Document Processing System Using Full Image Scanning | Y | |
| 11/517,236 | 9/7/06 | Fitzgerald et al. | Currency Processing and Strapping Systems and Methods | N | --- |
| 11/526,365 | 9/25/06 | W. Jones et al. | System and Method for Saving Statistical Data of Currency Bills in a Currency Processing Device | Y | |
| 11/544,228 | 10/5/06 | Mennie et al. | Currency Processing System with Fitness Detection | Y | |
| 11/633,171 | 12/04/06 | W. Jones et al. | System and Method for Processing Currency and Identification Cards in a Document Processing Device | Y | |
| 11/634,229 | 12/04/06 | J. Jones et al. | Automated Document Processing System Using Full Image Scanning | Y | |
| 11/649,394 | 1/3/07 | J. Jones | Automated Payment System and Method | Y | |
| 11/716,182 | 3/9/07 | Freeman et al. | Currency Discrimination System and Method | Y | |
| 11/726,828 | 3/23/07 | Blake et al. | Systems, Apparatus, and Methods for Currency Processing Control and Redemption | Y | |

| <u>Applic. No.</u> | <u>Date Filed</u> | <u>Inventor</u> | <u>Title</u> | <u>IFW?</u> | <u>Non-IFW: Same or Similar Spec. as</u> |
|--------------------|-------------------|------------------|---|-------------|--|
| 11/726,827 | 3/23/07 | J. Jones et al. | Automated Check Processing System With Check Imaging and Accounting | Y | |
| 11/803,281 | 5/14/07 | Mennie et al. | Automated Document Processing System Using Full Image Scanning | Y | |
| 11/803,365 | 5/14/07 | Mennie et al. | Automated Document Processing System Using Full Image Scanning | N | 2002-0126885 A1 |
| 11/803,366 | 5/14/07 | Mennie et al. | Automated Document Processing System Using Full Image Scanning | Y | |
| 11/803,381 | 5/14/07 | Mennie et al. | Automated Document Processing System Using Full Image Scanning | N | 2002-0126885 A1 |
| 11/805,816 | 5/23/07 | J. Jones et al. | Automated Document Processing System Using Full Image Scanning | Y | |
| 11/809,621 | 06/01/07 | Renz et al. | Angled Currency Processing System | N | --- |
| 11/880,011 | 7/19/07 | Chiles | Currency Bill and Coin Processing System | Y | |
| 11/890,638 | 8/7/07 | Hallowell et al. | Currency Processing and Strapping Systems and Methods | Y | |

In accordance with 37 C.F.R. §1.98(a)(2)(iii), as modified by the October 19, 2004 OG notice, copies of the above-referenced pending U.S. applications which are stored in the USPTO's IFW system are no longer required; and hence, no copies of such applications are enclosed.

For the pending applications which are not currently in the IFW system, where applicable a publication having the same or similar specification as the corresponding pending application is identified in the last column. If any of the above pending applications not currently in the IFW system should not be in the IFW system at the time the present application is taken up for examination, it is requested that the Examiner please notify the Applicant(s). In accordance with 37 C.F.R. §1.98(a)(2)(iii), copies of such applications together with their corresponding claims will be then provided to the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. § 1.56.

The present Information Disclosure statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits of this application, and is timely in accordance with 37 C.F.R. § 1.97(b). Thus, no fees are due. The Commissioner is authorized to charge any fee (except the issue fee) while this application is pending to Nixon Peabody LLP Deposit Account No. 50-4181, Ref. 247171-000252USPT.

Respectfully submitted,

October 30, 2007

Date

/Paul R. Kitch/

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